

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ralph H. Johnson et al.

Examiner: unknown

Serial No.: 10/617,290

Group Art Unit: unknown

Filed: July 10, 2003

# For: VERSATILE METHOD AND SYSTEM FOR SINGLE MODE VCSELs

Docket No.: H26341-D1 (1139.1124103)

**TRANSMITTAL SHEET**

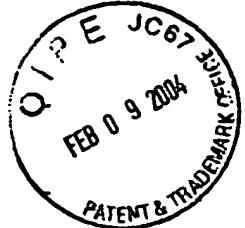
Assistant Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 5th day of February, 2004.

We are transmitting herewith the attached.

[X] Information Disclosure Statement, Form PTO-1449 and 1 cited reference.  
[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to  
Deposit Account No. 50-0413.

Brian N. Tufte, Reg. No. 38,638  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

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By: \_\_\_\_\_

Brian N. Tufte

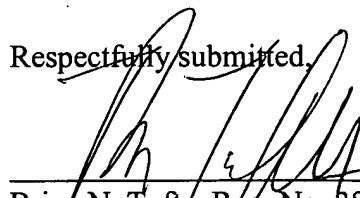
Dear Sir/Madam:

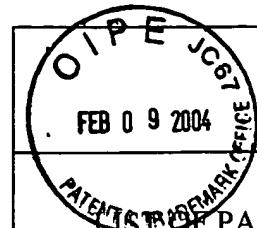
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Dated: February 5, 2004

  
\_\_\_\_\_  
Brian N. Tufte, Reg. No. 38,638  
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FORM PTO-1449		Atty. Docket No.: H26341 D1 (1139.1124103)	Serial No.: 10/617,290
PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Applicant:	
		Ralph Johnson et al.	
		Filing Date	Group Art:
		July 10, 2003	unknown

#### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate

#### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes   No

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	Choquette, Kent D., et al., "Design of Oxide Aperture Profile within Selectively Oxidized VCSELs, IEEE, Conference Proceedings, LEOS '98, 11th Annual Meeting, Orlando, Florida, December 1-4, 1998, pages 179-180.
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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.